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PTO/SB/21 (09-04)
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| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | | Application Number | 10/019,450 |
| | | Filing Date | May 23, 2002 |
| | | First Named Inventor | Ravi Chandran et al. |
| | | Art Unit | 2626 |
| | | Examiner Name | James S. Wozniak |
| | | Attorney Docket Number | 12447US02 |
| Total Number of Pages in This Submission | | | |

ENCLOSURES (check all that apply)

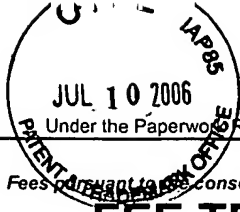
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| <input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return-Receipt Postcard <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| Remarks | | Copies of Other Publications listed on IDS |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|-------------------------|-------------------------------|-----------------------------------|---------------------|
| Firm or Individual Name | McAndrews Held & Malloy, Ltd. | | |
| Name (Print/type) | James H. Williams | Registration No. (Attorney/Agent) | 56,883 |
| Signature | <i>James H. Williams</i> | | Date: July 10, 2006 |

EXPRESS MAIL DEPOSIT

"Express Mail" mailing label number : EV 726843590 US
Date of Deposit July 10, 2006.



JUL 10 2006

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Fees pursuant to the Consolidated Appropriates Act, 2005 (H.R. 4818).

**FEE TRANSMITTAL
for FY 2006****Complete if Known**

| | |
|----------------------|----------------------|
| Application Number | 10/019,450 |
| Filing Date | May 28, 2002 |
| First Named Inventor | Ravi Chandran et al. |
| Examiner Name | James S. Wozniak |
| Art Unit | 2626 |
| Attorney Docket No. | 12447US02 |

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 180.00**METHOD OF PAYMENT** (check all that apply)☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____☒ Deposit Account Deposit Account Number: 13-0017 Deposit Account Name: McAndrews Held & Malloy

For the above-identified deposit account, the Director is hereby authorized to (check all that apply)

☒ Charge Fee(s) indicated below☐ Charge Fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fees(s) ☒ Credit any overpayments
under 37 CFR 1.16 and 1.17**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION** (All the fees below are due upon filing or may be subject to a surcharge.)**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| Application Type | FILING FEES | | SEARCH FEES | | EXAMINATION FEES | | Fees Paid(\$) |
|------------------|-------------|----------------------|-------------|----------------------|------------------|----------------------|---------------|
| | Fee (\$) | Small Entity Fee(\$) | Fee(\$) | Small Entity Fee(\$) | Fee(\$) | Small Entity Fee(\$) | |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 | |
| Design | 200 | 100 | 100 | 50 | 130 | 65 | |
| Plant | 200 | 100 | 300 | 150 | 160 | 80 | |
| Reissue | 300 | 150 | 500 | 250 | 600 | 300 | |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 | |

2. EXCESS CLAIM FEES

| Fee Description | Small Entity | |
|---|---------------------|----------------|
| | Fee(\$) | Fee(\$) |
| Each claim over 20 (including Reissues) | 50 | 25 |
| Each independent claim over 3 (including Reissues) | 200 | 100 |
| Multiple dependent claims | 360 | 180 |
| Total Claims | Extra Claims | Fee(\$) |
| -20 or HP | x | = |
| HP = highest number of total claims paid for, if greater than 20 | | |
| Indep. Claims | Extra Claims | Fee(\$) |
| -3 or HP | x | = |
| HP = highest number of independent claims paid for, if greater than 3 | | |

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof | Fee(\$) | Fee Paid(\$) |
|--------------|--------------|--|---------|--------------|
| -100 | /50 | (round up to a whole number) | x | = |

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Fee set forth in 37 C.F.R. 1.17(p)

SUBMITTED BY

| | | | | | |
|-------------------|--------------------------|--------------------------------------|---------------|-----------|---------------|
| Signature | <i>James H. Williams</i> | Registration No. (Attorney/Agent) | 56,883 | Telephone | (312)775-8000 |
| Name (print/type) | James H. Williams | Date | July 10, 2006 | | |



UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 12447US02)

In the Application of:

Ravi Chandran et al.

Serial No. 10/019,450

Filed: May 28, 2002

For: Coded Domain Adaptive Level
Control of Compressed Speech

Examiner: James S. Wozniak

Group Art Unit: 2626

EXPRESS MAIL:

EV 726843590 US

DATE:

July 10, 2006

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sirs:

Pursuant to 37 C.F.R. § 1.97-1.98 and in compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, it is respectfully requested that the following references be considered in the examination of the above-identified patent application:

| <u>U.S. Patent No.</u> | <u>Date</u> | <u>Name</u> |
|------------------------|-------------|----------------|
| 4,969,192 | 11-06-1990 | Chen et al. |
| 5,097,507 | 03-17-1992 | Zinser et al. |
| 5,371,853 | 12-06-1994 | Kao et al. |
| 5,495,555 | 02-27-1996 | Swaminathan |
| 5,615,298 | 03-25-1997 | Chen |
| 5,633,982 | 05-27-1997 | Ganesan et al. |
| 5,651,091 | 07-22-1997 | Chen |
| 5,659,622 | 08-19-1997 | Ashley |

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| | | |
|-----------|------------|--------------------|
| 5,680,508 | 10-21-1997 | Liu |
| 5,732,188 | 03-24-1998 | Moriya et al. |
| 5,878,387 | 03-02-1999 | Oshikiri et al. |
| 5,995,923 | 11-30-1999 | Mermelstein et al. |
| 6,026,356 | 02-15-2000 | Yue et al. |
| 6,266,644 | 07-24-2001 | Levine |
| 6,453,289 | 09-17-2002 | Ertem et al. |

OTHER PUBLICATIONS

1. A.M. KONDOZ, "Digital Speech Encoding for Low Bit Rate Communications Systems," Chapter 2, pp. 5-32, John Wiley & Sons, 1994.
2. A.M. KONDOZ, "Digital Speech Encoding for Low Bit Rate Communications Systems," Chapter 3, pp. 35-77, John Wiley & Sons, 1994.
3. A.M. KONDOZ, "Digital Speech Encoding for Low Bit Rate Communications Systems," Chapter 6, pp. 141-213, John Wiley & Sons, 1994.
4. J.R. DELLER, J.G. PROAKIS, J.H.L. HANSEN, "Discrete-Time Processing of Speech Signals," Chapter 7, Prentice-Hall, Inc., 1987.
5. GSM 04.53, "Digital cellular telecommunications system (Phase 2+); Inband Tandem Free Operation (TFO) of Speech Codecs," October 1998.
6. GSM 06.20, "Digital cellular telecommunications system (Phase 2+); Half rate speech; Half rate speech transcoding," May 1998.
7. GSM 06.82, "Digital cellular telecommunications system (Phase 2+); Inband Tandem Free Operation (TFO) of Speech Codecs," ETSI, March 2000.
8. ITU-T Recommendation G.711, "General Aspects of Digital Transmission Systems," 1993.

OTHER PUBLICATIONS (continued)

9. L. RABINER & R. SCHAFER, "Digital Processing of Speech Signals, Chapter 5, pp. 179-216, Signal Processing, Prentice-Hall, 1978.
10. SAEED V. VASEGHI, "Advanced Signal Processing and Digital Noise Reduction," Chapter 9, pp. 242-260, ISBN WILEY 0471958751, 1996.
11. GSM 06.31, version 5.0.1, "Digital cellular telecommunications system; Full rate speech; Discontinuous Transmission (DTX) for full rate speech traffic channels," May 1997.

The above-identified references are listed on the attached Form PTO/SB/08a and copies are also enclosed herewith. This submission is in no way intended as an admission that the above-cited references constitute prior art. Applicant expressly retains the right to take any actions necessary to remove the above-cited references from the available prior art. Consideration of the above-identified references in the examination of the present patent application is respectfully requested.

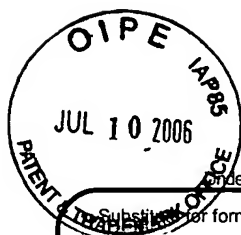
The Commissioner is hereby authorized to charge the \$180.00 fee set forth in 37 C.F.R. 1.17 (p) to the Deposit Account of McAndrews, Held & Malloy, No. 13-0017. Additionally, the Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Account No. 13-0017.

Respectfully submitted,

July 10, 2006
Date

James H. Williams
James H. Williams
Registration No. 56,883

MCANDREWS, HELD & MALLOY, LTD.
500 West Madison Street, 34th Floor
Chicago, Illinois 60661
Telephone: (312) 775-8000
Facsimile: (312) 775-8100



PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitution for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

| | |
|------------------------|------------------|
| Application Number | 10/019,450 |
| Filing Date | 05/28/2002 |
| First Named Inventor | Ravi Chandran |
| Art Unit | 2626 |
| Examiner Name | James S. Wozniak |
| Attorney Docket Number | 12447US02 |

U.S. PATENT DOCUMENTS

| Examiner Initials * | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|------------------------|--------------------------|--|--------------------------------|--|---|
| | | Number - Kind Code ² (if known) | | | |
| | A-1 | US- 4,969,192 | 11-06-1990 | Chen et al. | |
| | A-2 | US- 5,097,507 | 03-17-1992 | Zinser et al. | |
| | A-3 | US- 5,371,853 | 12-06-1994 | Kao et al. | |
| | A-4 | US- 5,495,555 | 02-27-1996 | Swaminathan | |
| | A-5 | US- 5,615,298 | 03-25-1997 | Chen | |
| | A-6 | US- 5,633,982 | 05-27-1997 | Ganesan et al. | |
| | A-7 | US- 5,651,091 | 07-22-1997 | Chen | |
| | A-8 | US- 5,659,622 | 08-19-1997 | Ashley | |
| | A-9 | US- 5,680,508 | 10-21-1997 | Liu | |
| | A-10 | US- 5,732,188 | 03-24-1998 | Moriya et al. | |
| | A-11 | US- 5,878,387 | 03-02-1999 | Oshikiri et al. | |
| | A-12 | US- 5,995,923 | 11-30-1999 | Mermelstein et al. | |
| | A-13 | US- 6,026,356 | 02-15-2000 | Yue et al. | |
| | A-14 | US- 6,266,644 | 07-24-2001 | Levine | |
| | A-15 | US- 6,453,289 | 09-17-2002 | Ertem et al. | |
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FOREIGN PATENT DOCUMENTS

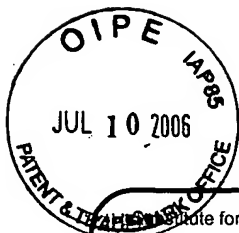
| Examiner Initials * | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
|------------------------|--------------------------|---|-----------------------------------|---|--|----------------|
| | | Country Code ³ - Number ⁴ - Kind Code ⁵ (if known) | | | | |
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Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08b(08-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| | | | |
|---|------------------------|--------------------------|------------------|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) | | Complete if Known | |
| | | Application Number | 10/019,450 |
| | | Filing Date | 05/28/2002 |
| | | First Named Inventor | Ravi Chandran |
| | | Art Unit | 2626 |
| | | Examiner Name | James S. Wozniak |
| Sheet 2 of 2 | Attorney Docket Number | 12447US02 | |

| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|-----------------------|---|----------------|
| Examiner Initials * | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| | C-1 | A.M. KONDOZ, "Digital Speech Encoding for Low Bit Rate Communications Systems," Chapter 2, pp. 5-32, John Wiley & Sons, 1994. | |
| | C-2 | A.M. KONDOZ, "Digital Speech Encoding for Low Bit Rate Communications Systems," Chapter 3, pp. 35-77, John Wiley & Sons, 1994. | |
| | C-3 | A.M. KONDOZ, "Digital Speech Encoding for Low Bit Rate Communications Systems," Chapter 6, pp. 141-213, John Wiley & Sons, 1994. | |
| | C-4 | J.R. DELLER, J.G. PROAKIS, J.H.L. HANSEN, "Discrete-Time Processing of Speech Signals," Chapter 7, Prentice-Hall, Inc., 1987. | |
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| | C-6 | GSM 06.20, "Digital cellular telecommunications system (Phase 2+); Half rate speech; Half rate speech transcoding," May 1998. | |
| | C-7 | GSM 06.82, "Digital cellular telecommunications system (Phase 2+); Inband Tandem Free Operation (TFO) of Speech Codecs," ETSI, March 2000. | |
| | C-8 | ITU-T Recommendation G.711, "General Aspects of Digital Transmission Systems," 1993. | |
| | C-9 | L. RABINER & R. SCHAFER, "Digital Processing of Speech Signals, Chapter 5, pp. 179-216, Signal Processing, Prentice-Hall, 1978. | |
| | C-10 | SAEED V. VASEGHI, "Advanced Signal Processing and Digital Noise Reduction," Chapter 9, pp. 242-260, ISBN WILEY 0471958751, 1996. | |
| | C-11 | GSM 06.31, version 5.0.1, "Digital cellular telecommunications system; Full rate speech; Discontinuous Transmission (DTX) for full rate speech traffic channels," May 1997. | |

| | | | |
|--------------------|--|-----------------|--|
| Examiner Signature | | Date Considered | |
|--------------------|--|-----------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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